Use this form to request transfer/rollover of assets from another qualified account to Solo 401(k)

TRANSFER/ROLLOVER REQUEST FORM

& Letter of Acceptance

Name of the Plan: _____

A. PARTICIPANT INFORMATION

FULL NAME _____ PHONE NO. _____ SSN _____

B. ACCOUNT TO TRANSFER/ROLLOVER

Employer-sponsored plans such as 457, 403b, 401k, defined benefit plan, etc. may require completing their own form.

Custodian Name: _____ Account No.: _____

Custodian Address: _____

Contact Name: _____ Phone No.: _____

C. CASH TO TRANSFER/ROLLOVER

If you are transferring CASH, you MUST provide your financial institution with appropriate LIQUIDATION INSTRUCTIONS prior to submitting this form.

☐ Transfer/Rollover ALL available cash (By selecting this option, I confirm that I have provided my financial institution with liquidation instructions prior to submitting this form)

☐ Transfer/Rollover exactly: $ _____ (By selecting this option, I confirm that I have provided my fin. institution with liquidation instructions prior to submitting this form)

☐ Transfer in-kind _____

_____

D. DELIVERY INSTRUCTIONS FOR CUSTODIAN/TRUSTEE

* Please choose from the options below on how you would like your Custodian to deliver your cash/assets to your Solo 401k.

☐ Mail Make checks payable to: _____

Mail to: _____

☐ Wire Institution Name: _____

Beneficiary Acct Name: _____

Routing Transit No. (ABA): _____ Beneficiary Acct No: _____

FBO: _____

E. PARTICIPANT AUTHORIZATION

I authorize the transfer of my retirement assets in the manner described above and certify that all of the information provided is correct and may be relied upon by the Trustee/Custodian

Participant Signature: _____ Date _____

F. SIGNATURE & ACCEPTANCE BY PLAN TRUSTEE

I understand that the requirements for a valid transfer/direct rollover to a Solo 401(k) are complex and that I have the responsibility for complying with all requirements of such transfer/ rollover. I certify that I have established a Solo 401(k) to which the assets will be transferred in accordance with The IRC 401(a) requirements. Solo 401k Trust agrees to accept transfer/ rollover of the above 401(k) for deposit into said plan's Solo 401(k) Trust Account, and request transfer/rollover as indicated above.

Medallion Signature Guarantee (if required):

_____ Date _____

By: _____